

GOVERNANCE AND RISK MANAGEMENT

HELPING YOU TO CREATE THE BEST FRAMEWORK TO MANAGE RISK
EFFECTIVELY

Regulatory expectations on firms' governance and risk management models continue to increase, alongside the focus on individual accountability that can only be met by sound policies and procedures.

Designing effective models can only be done with a clear understanding of the laws and regulations that shape the operating environment.

We draw on our diverse experience of observing both good and sub-optimal governance and risk management for a broad range of financial services clients across the world. We've advised many clients on these issues and have assisted others in addressing the consequences of sub-optimal practice. This is reflected in our approach, which is based around proven 'real-world' solutions to meet regulatory needs and be workable in practice.

RELATED CONTENT

[**FSR AND CORPORATE CRIME NOTES**](#)

[**BANKING LITIGATION NOTES**](#)

[**FS DISPUTES & REGULATORY PODCAST**](#)

[**ESG, SUSTAINABILITY AND RESPONSIBLE BUSINESS**](#)

Our integrated financial services regulatory team spans over 25 countries across Europe, North America, Asia Pacific and the Middle East. Many of our team members have previously held senior in-house roles within both regulators and global financial institutions, giving us genuine insight into the pressures and challenges you face.

We go beyond theory. We get into the detail and partner with our clients to design and implement governance and risk management arrangements that will meet the test of clear responsibility, accountability and effective oversight.

RECENT EXPERIENCE

SIR ANTHONY SALZ

Advising in relation to his independent review of culture and business practices at Barclays (leading to publication of the “Salz Review”) and separately advising Sir Christopher Kelly in relation to his independent review into the events leading to the Co-operative Bank’s capital shortfall (“Kelly Review”)

AUSTRALIAN BOARD OF A GLOBAL ASSET MANAGEMENT COMPANY

Providing training for the Australian board of a global asset management company in relation to the use of delegation models, limits on the ability to rely on others and the impact of the Centro case, and implementing reporting and monitoring processes

EUROPEAN (NON-EU) BANK

Advising a non-EU-headquartered global wholesale bank on material aspects of its UK corporate governance structure and framework

GOVERNANCE ARRANGEMENTS

Advising a major Australian financial institution to enhance its governance arrangements, in conformance with an undertaking provided to ASIC

LARGE UK BRANCH OF AN EEA-HEADQUARTERED BANK

Advising on the effectiveness of its governance arrangements, including in relation to subsidiaries

OUR PEOPLE



ANDREW PROCTER
PARTNER, LONDON

+44 20 7466 7560
Andrew.Procter@hsf.com



HANNAH CASSIDY
PARTNER, HEAD OF
FINANCIAL SERVICES
REGULATORY, ASIA,
HONG KONG

+852 21014133
Hannah.Cassidy@hsf.com



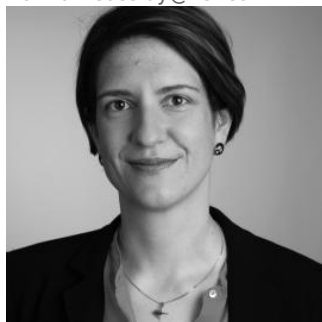
HYWEL JENKINS
PARTNER, LONDON

+44 20 7466 2510
Hywel.Jenkins@hsf.com



JOHN O'DONNELL
PARTNER, NEW YORK

+1 917 542 7809
John.ODonnell@hsf.com



KAREN ANDERSON
PARTNER, LONDON

+44 20 7466 2404
Karen.Anderson@hsf.com

**SUSANNAH
COGMAN**
PARTNER, LONDON

+44 20 7466 2580
Susannah.Cogman@hsf.com

**BARNABY
HINNIGAN**
PARTNER, LONDON

+44 20 7466 2816
Barnaby.Hinnigan@hsf.com

KAI LIEBRICH
MANAGING PARTNER,
GERMANY, GERMANY
+49 69 2222 82541
Kai.Liebrich@hsf.com