




MARK STALEY OF COUNSEL

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Mark specialises in financial services and markets law and regulation.

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EXPERIENCE

Mark specialises in financial services and markets law and regulation. He advises a wide range of clients, including banks, broker-dealers, trading platforms and exchanges, asset managers, insurers, custodians, clearing houses and other market infrastructure providers. He has also recently been advising a number of FinTech clients on the development of their businesses and products. He has extensive experience advising on the impact of changes to financial services legislation, including acting on a number of large scale implementation projects and restructurings.

Mark advises on perimeter issues, the establishment and authorisation of new businesses, the development, structuring and marketing of financial services products and services, conduct of business requirements and regulatory capital. He also advises on the financial services aspects of corporate transactions, including mergers and acquisitions, reorganisations, joint ventures, and other strategic transactions for financial institutions. He has significant experience of dealing with UK and EU regulators.

Mark's experience includes advising:

- a major global bank on the impact of Brexit on its Investment Banking and Capital Markets division
- on the proposed (and subsequently abandoned) separation of Williams & Glyn Bank from RBS
- one of the "big four" UK banks and a major European stock exchange on the impact of the EU Market Abuse Regulation (MAR)
- a number of banks and investment firms on complex regulatory capital issues under the EU Capital Requirements Regulation (CRR)
- a major UK asset manager on the launch of an innovative new fund product
- various banks, brokers and asset managers on the implementation of MiFID II
- the establishment and ongoing development of ClearBank, the UK's first new clearing bank in 250 years
- Lloyds Banking Group on the Part VII transfer of its private banking and Scottish Widows business
- a number of FinTech clients on establishment, authorisation and product development issues