



HERBERT  
SMITH  
FREEHILLS



## **CALVIN TO** ASSOCIATE

[Hong Kong](#)

Calvin is a dispute resolution lawyer focusing on financial services regulatory matters.

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## **BACKGROUND**

Calvin obtained his Postgraduate Certificate in Laws from the City University of Hong Kong and Master of Laws from the University of Hong Kong. He obtained his Bachelor of Laws (Honours) from the University of Reading.

He is admitted to practice in Hong Kong and is fluent in English, Mandarin and Cantonese.

## **KEY SERVICES**

[Dispute Resolution](#)  
[Financial Services Regulatory](#)

## **KEY SECTORS**

[Banks and other Financial Institutions](#)  
[Asset and Wealth Management](#)

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## **EXPERIENCE**

Calvin's practice focuses on both contentious and non-contentious financial services regulatory matters.

His experience includes advising international banks and financial institutions on regulatory compliance, reporting, investigation and enforcement matters.

Prior to joining Herbert Smith Freehills, Calvin worked at the Listing Enforcement Department within the Listing Division of the Hong Kong Stock Exchange, where he investigated and prosecuted breaches of the Listing Rules. He also worked at the Hong Kong Securities and Futures Commission as an investigator of the Enforcement Division, where he investigated suspected cases of market misconduct and breaches of the Securities and Futures Ordinance. Calvin previously worked at one of the largest local law firms in Hong Kong.

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