

INVESTIGATIONS INVOLVING INDIVIDUALS: GLOBAL TRENDS AND TIPS

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With individual accountability a continuing focus of financial services regulators globally, we have collected themes and practical tips from our experts across the Herbert Smith Freehills global network to assist our clients with the management of investigations involving individuals.

We have compiled this experience and expertise into four Top 10s:

1. **Top 10 global trends** regarding investigations involving individuals
2. **Top 10 reasons** for an investigation involving individuals
3. **Top 10 tips for firms** conducting an investigation involving individual misconduct
4. **Top 10 tips for individuals** on how to avoid an investigation

Click on the pendulums below to view each of these Top 10s.

KEY CONTACTS

If you have any questions, or would like to know how this might affect your business, phone, or email these key contacts.



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